

U.S. DEPARTMENT OF ENERGY
NOTICE OF FINANCIAL ASSISTANCE AWARD
(See Instructions on Reverse)

Under the authority of Public Law _____ and
subject to legislation, regulations and policies applicable to *(cite legislative program title)*:

1. PROJECT TITLE				2. INSTRUMENT TYPE <input type="checkbox"/> GRANT <input type="checkbox"/> COOPERATIVE AGREEMENT			
3. RECIPIENT <i>(Name, address, zip code, area code and telephone no.)</i>				4. INSTRUMENT NO.		5. AMENDMENT NO.	
				6. BUDGET PERIOD FROM: THRU:		7. PROJECT PERIOD FROM: THRU:	
8. RECIPIENT PROJECT DIRECTOR <i>(Name and telephone no.)</i>				10. TYPE OF AWARD <input type="checkbox"/> NEW <input type="checkbox"/> CONTINUATION <input type="checkbox"/> RENEWAL <input type="checkbox"/> REVISION <input type="checkbox"/> SUPPLEMENT			
9. RECIPIENT BUSINESS OFFICER <i>(Name and telephone no.)</i>				12. ADMINISTERED FOR DOE BY <i>(Name, address, zip code, telephone no.)</i>			
11. DOE PROJECT OFFICER <i>(Name, address, zip code, telephone no.)</i>							
13. RECIPIENT TYPE <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> STATE GOV'T <input type="checkbox"/> INDIAN TRIBAL GOV'T <input type="checkbox"/> HOSPITAL</div><div><input type="checkbox"/> FOR PROFIT ORGANIZATION <input type="checkbox"/> INDIVIDUAL</div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> LOCAL GOV'T <input type="checkbox"/> INSTITUTION OF HIGHER EDUCATION <input type="checkbox"/> OTHER NONPROFIT ORGANIZATION</div><div><input type="checkbox"/> C <input type="checkbox"/> P <input type="checkbox"/> SP <input type="checkbox"/> OTHER <i>(Specify):</i> _____</div></div>							
14. ACCOUNTING AND APPROPRIATIONS DATA						15. EMPLOYER i.D. NUMBER	
a. Appropriation Symbol	b. B & R Number	c. FT/AFP/OC	d. CFA Number				
16. BUDGET AND FUNDING INFORMATION							
a. CURRENT BUDGET PERIOD INFORMATION				b. CUMULATIVE DOE OBLIGATIONS			
(1) DOE Funds Obligated This Action \$ _____				(1) This Budget Period \$ _____			
(2) DOE Funds Authorized for Carry Over \$ _____				[Total of lines a.(1) and a.(3)]			
(3) DOE Funds Previously Obligated in this Budget Period \$ _____				(2) Prior Budget Periods \$ _____			
(4) DOE Share of Total Approved Budget \$ _____				(3) Project Period to Date \$ _____			
(5) Recipient Share of Total Approved Budget \$ _____				[Total of lines b. (1) and b. (2)]			
(6) Total Approved Budget \$ _____							
17. TOTAL ESTIMATED COST OF PROJECT \$ _____ <i>(This is the current estimated cost of the project. It is not a promise to award nor an authorization to expend funds in this amount.)</i>							
18. AWARD/AGREEMENT TERMS AND CONDITIONS This award/agreement consists of this form plus the following: a. Special terms and conditions. b. Applicable program regulations <i>(specify)</i> _____ <i>(Date)</i> _____ c. DOE Financial Assistance Rules, 10 CFR Part 600, as amended. d. Application/proposal dated _____, <input type="checkbox"/> as submitted <input type="checkbox"/> with changes as negotiated							
19. REMARKS							
20. EVIDENCE OF RECIPIENT ACCEPTANCE				21. AWARDED BY			
_____ <i>(Signature of Authorized Recipient Official)</i> <i>(Date)</i>				_____ <i>(Signature)</i> <i>(Date)</i>			
_____ <i>(Name)</i>				_____ <i>(Name)</i>			
_____ <i>(Title)</i>				_____ <i>(Title)</i>			



INSTRUCTIONS

(This form shall be completed in accordance with the following instructions. For any clarification or additional information that might be needed, consult the appropriate section of the DOE Financial Assistance Procedures Manual (DOE-FAPM).)

Insert in the space provided, in the line which begins, "Under the Authority of Public Law ...," the number and the name of the Public Law which authorizes this award. On the line below, enter the title of the pertinent program.

Block 1 - Enter the project title as it appears in the SF-424, "Application for Federal Assistance" or equivalent application/proposal face sheet.

Block 2 - Place a checkmark in the box beside the appropriate financial assistance instrument.

Block 3 - Enter the name, address and telephone number of the applicant/proposer as it appears in the SF-424 or equivalent application/proposal face sheet.

Block 4 - Enter the instrument number. (See DOE-FAPM.)

Block 5 - Enter the appropriate amendment number. (See DOE-FAPM for guidance.)

Block 6 - Enter the starting date and expiration date for the current budget period. If a budget period is being changed, enter the starting date and expiration date for the budget period, as changed.

Block 7 - Enter the starting date and anticipated completion date for the project. If a project period is being changed, enter the starting date and anticipated completion date for the project period, as changed.

Block 8 - Enter the name and telephone number of the individual designated by the applicant/proposer as the director of the project.

Block 9 - Enter the name and telephone number of the individual designated by the applicant/proposer as the contact for all business matters.

Block 10 - Place a checkmark in the box opposite the term which identifies the type of action being taken. (The terms are defined in the DOE-FAPM.)

Block 11 - Enter the name, address and telephone number of the individual designated by the DOE program office as the project officer.

Block 12 - Enter the name, address and telephone number of the individual/organization who will administer the agreement for DOE.

Block 13 - Place a checkmark in the box beside the applicable recipient type. If the recipient is a for-profit organization, also check one of the lower boxes as follows: "C" for Corporation, "P" for Partnership and "SP" for Sole Proprietorship. If the recipient is of a type not indicated, place a checkmark in the box beside "Other," and specify the recipient type in the space provided.

Block 14 - Enter where indicated, the appropriation symbol, B&R number, Fund Type (FT)/AFP Code (AFP)/Objective Class (OC) and CFA Number from the DOE F 4200.33, "Procurement Request-Authorization." Completion of Block 14.d. is required only for awards made by Headquarters.

Block 15 - Enter the applicant's/proposer's Federal Employer Identification No. from the SF-424 or equivalent application/proposal face sheet.

Block 16 - Entries should be made as follows. (If no dollar entry is appropriate, a zero should be entered to indicate there was no error of omission.)

Line a.(1) - Enter the amount of DOE funds obligated by this action.

Line a.(2) - Enter the amount of DOE funds not expended in prior budget period(s), if any, authorized by DOE for expenditure in the current budget period.

Line a.(3) - Enter the amount of DOE funds previously obligated in the current budget period.

Line a.(4) - Enter DOE's share of the total approved budget shown on Line a.(6).

Line a.(5) - Enter the recipient's share of the total approved budget shown on Line a.(6).

Line a.(6) - Enter the total approved budget for the current budget period. (Add the amounts in lines a.(4) and a.(5).)

Line b.(1) - Enter the amount of DOE funds obligated in the current budget period. (Add the amounts in lines a.(1) and a.(3).)

Line b.(2) - Enter the amount obligated by DOE in prior budget periods.

Line b.(3) - Enter the amount obligated by DOE in the project period to date. (Add the amounts in lines b.(1) and b.(2).)

Block 17 - Must be completed for cooperative agreements. Contracting Officers may exercise discretion as to whether to complete it for grants. Enter in the blank provided, the amount which represents the current estimate of total funds and dollar value of in-kind contributions (both DOE and recipient shares) needed to carry out the entire project. Include all funds and contributions previously provided, those being provided by this action, and all anticipated future obligations and contributions of both parties.

Block 18 - Complete as follows:

Item a. - No entry necessary.

Item b. - Enter the legal citation from the Code of Federal Regulations or Federal Register and the effective date for the program regulations applicable to the program under which the award is made.

Item c. - No entry necessary.

Item d. - In the blank provided, enter the date of the application/proposal. (If SF-424 is used, see block 18e. on page 1.) Place a checkmark in the appropriate box to indicate whether the application/proposal was accepted as submitted or with negotiated changes.

Block 19 - Enter any explanation or advisory comments which are required for, or applicable to, this action.

Block 20 - Will be completed by the recipient.

Block 21 - The Contracting Officer shall sign and date the top line. His/her name and title should be entered on the next two lines. This box must be signed prior to forwarding to recipient.